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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): L.D. Bergman et al.  
Docket No.: YOR920000742US1  
Serial No.: 09/923,530  
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I hereby certify that this paper is being deposited on this date with the U.S. Postal Service as priority class mail addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature: *Judith A. Ryan* Date: August 31, 2001

Title: Methods and Apparatus for Indexing Data in a Database and for Retrieving Data from a Database in Accordance with Queries Using Example Sets

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants' attorney wishes to bring to the attention of the Patent and Trademark Office the following documents listed on the accompanying Form PTO-1449. A copy of each listed document is enclosed.

U.S. Patent Documents

1. U.S. Patent Application Serial No. 09/237,646, filed January 26, 1999 in the name of inventors V. Castelli et al. and entitled, "Method and Apparatus for Similarity Retrieval from Iterative Refinement."

Other Documents

1. I.J. Cox et al., "The Bayesian Image Retrieval System, *PicHunter*: Theory, Implementation and Psychophysical Experiments," IEEE Transactions on Image Processing, pp. 1-19, 2000.

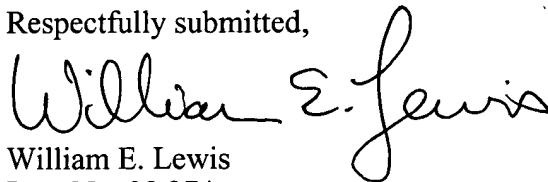
2. S. Santini et al., "Similarity Measures," IEEE Transactions on Pattern Analysis and Machine Intelligence, Vol. 21, No. 9, pp. 871-883, September 1999.

3. X. Wan et al., "Efficient Interactive Image Retrieval With Multiple Seed Images," SPIE Conference on Multimedia Storage and Archiving Systems III, Boston, MA, pp. 13-24, November 1998.

4. V. Gaede, "Multidimensional Access Methods," ACM Computing Surveys, Vol. 30, No. 2, pp. 170-231, June 1998.
5. B. Bhanu et al., "Learning Feature Relevance and Similarity Metrics in Image Databases," IEEE, pp. 14-18, 1998.
6. T-S. Chua, "Relevance Feedback Techniques for Color-based Image Retrieval," IEEE, pp. 24-31, 1998.
7. Y. Rui et al., "Relevance Feedback: A Power Tool for Interactive Content-Based Image Retrieval," IEEE, pp. 644-655, 1998.
8. M.E.J. Wood et al., "Iterative Refinement by Relevance Feedback in Content-Based Digital Image Retrieval," ACM Multimedia, Bristol, UK, pp. 13-20, 1998.
9. W.Y. Ma et al., "Texture Features and Learning Similarity," IEEE, pp. 425-430, 1996.
10. M. Flickner et al., "Query by Image and Video Content: The QBIC System," IEEE, pp. 23-32, September 1995.
11. B.S. Kim et al., "A Fast  $k$  Nearest Neighbor Finding Algorithm Based on the Ordered Partition," IEEE, pp. 367-372, 1986.
12. L. Devroye et al., "The Bayes Error," A Probabilistic Theory of Pattern Recognition," Chapter 2, 13 pages.
13. C-S. Li et al., "Sequential Processing for Content-Based Retrieval of Composite Objects," Proc. SPIE, Vol. 3312 Storage and Retrieval for Image and Video Databases IV, pp. 1-12, January 1998.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or as an admission that the information cited is considered to be material to patentability, or as a representation that no other material information exists.

Respectfully submitted,



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